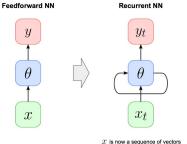


CS4248: Natural Language Processing

Lecture 9 — Trees

Recap of Week 08

Recurrent Neural Network — Basic Idea

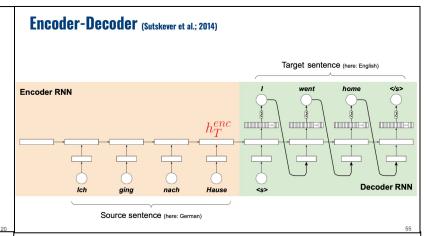


Core concept of RNNs: Hidden State

- · Additional vector incorporated into the network
- Commonly holds the last output of the hidden layer
 → size of hidden state = size of hidden layer
- Randomly initialized, and to be tuned through training (> backpropagation)
- Basic recurrent formula:

$$h_t = f_{\theta}(h_{t-1}, x_t)$$

hidden state of lime step $t-1$ lime step t



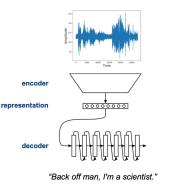
Encoder-Decoder Architecture

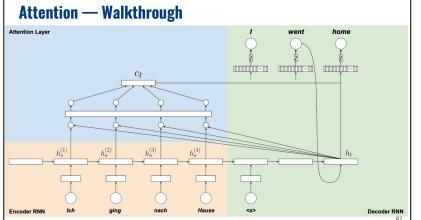
(e.g., word embeddings)

- Basic 2-component setup
 - (1) Encoder
 - Learns function that maps context into a fixed-size vector representation *C*
 - Encoder architecture depending on context (e.g., CNN for images, RNN for text)

(2) Decoder

- Language model using C to output sequence of words
- In the following: RNN-based Decoder





Announcements

Assignments

- Assignment 1: Graders are examining your requests.
- Assignment 1: We are also processing our requests on possible academic dishonesty.
- Assignment 3 out: Theory and Practice, 3 problems.

Projects

- Intermediate Updates to be disseminated soon. 5% of your total grade, uniformly distributed.
- First <u>TEAMMATES</u> intra-peer evaluation has been completed. Please check your own results.
- Min and Chris will be announcing a sign-up sheet for teams to optionally consult us on their project.
- Teams can be nominated or self-nominate for STePS (Week 13 Wed) for poster styled presentation instead.

Outline

Syntactic Parsing

- Quick recap: RegEx
- Context-free grammars
- Structural Ambiguity
- Chomsky Normal Form

CYK Parsing Algorithm

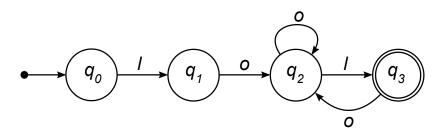
- Base membership algorithm
- Find all parse trees with backtracking
- Probabilistic parsing
- Evaluation of parser

Throwback — Regular Expression

Equivalence

- Regular Expressions describe Regular Languages (most restricted types of languages w.r.t Chomsky Hierarchy)
- Regular Language = language accepted by a FSA

Example: FSA that accepts the Regular Language described by the Regular Expression I(o+I)+



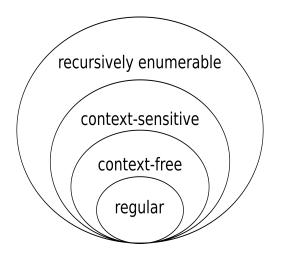
Regular Expression

I(o+I)+

Regular Language {lol, loool, lolol, looolol, ...}

Chomsky Hierarchy

(Source: Wikipedia)



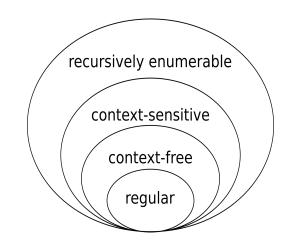




Regular Expressions — Limitations

- Not all languages can be described using RegEx
 - Example:

$$\{0^n 1^n \mid n \ge 0\} = \{\epsilon, 01, 0011, 000111, 00001111, \ldots\}$$



- → Natural Language is not a Regular Language
 - Natural language allows for nested structures (center embeddings)

The food was delicious

The food Alice cooked was delicious

The food Alice the sister of Bob cooked was delicious

Syntax & Constituency

- Important questions
 - How are words combined to form phrases?
 - How are phrases combined to form longer phrases?
 - How are phrases are combined to form sentences?

How meaning is mapped onto what language structures?

- Important concept: constituency = phrase structure
 - Constituent = group of words that behave as a single unit

Constituents

- Constituent Definition
 - Group of words that behaves as a single unit or phrase (by default: individual words are constituents, but there are exceptions)
 - Sentences can be described as a hierarchical structure of constituents (in a bit: parse trees)
- Question: How do we know a group of words forms a constituent?
 - Handwavy answer: Group of words that "make sense" on its own

"She heard a loud shot from the bank during the time of the robbery."

a loud shot

a loud shot from the

a loud shot from the bank

■ Formal answer: **Constituency Tests**

Constituency Tests (some examples)

Topicalization

Only a constituent can be moved to different locations in a sentence

"They met at 8 pm for dinner." — "They met for dinner at 8 pm." — "At 8 pm, they met for dinner."

Proform substitution

■ Only a constituent can be substituted with a proform like *it*, *that*, *them*, *then*, *there*, etc.

"Chris went back to Germany." → "Chris went there."

Fragment Answers

Only a constituent can answer a question while retaining the meaning of the original sentence.

"Alice was hit by the green car." — Q: "What hit Alice?" → "The green car."





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Context-Free Grammars (CFGs)

- Context-Free Grammars
 - Most common way to capture constituency and ordering → good fit for natural language! (in fact, context-free grammars were first used to study human languages to describe the structure of sentences)
 - Define what meaningful constituents are and how a constituent is formed out of other constituents
 - More powerful than RegExs as they can express recursive structure (in contrast, context free grammars can describe regular languages)

special start symbol \rightarrow S \rightarrow NP VP

NP \rightarrow Det Noun

VP \rightarrow Verb NP

Det \rightarrow a | the

Noun \rightarrow man | meal | flight

Verb \rightarrow saw | booked

set of rules or productions

Non-terminal symbols

- Symbols that can be replaced according to rules
- For natural language grammars: phrase names, part of speech

Terminal symbols

- May be the output of a rule; cannot be changed/replaced further
- For natural language grammars: words/tokens

Context-Free Grammars (CFGs)

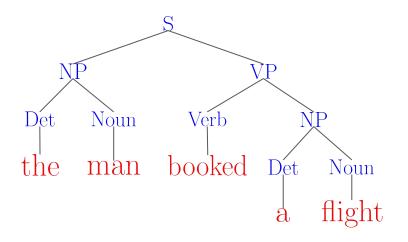
Application of rules — example

```
S \rightarrow NP \ VP
NP \rightarrow Det \ Noun
VP \rightarrow Verb \ NP
Det \rightarrow a \mid the
Noun \rightarrow man \mid meal \mid flight
Verb \rightarrow saw \mid booked
```

- $S \rightarrow NP VP$
 - \rightarrow Det Noun VP
 - $\rightarrow the \text{ Noun VP}$
 - $\rightarrow the \ man \ VP$
 - $\rightarrow the \ man \ Verb \ NP$
 - $\rightarrow the \ man \ booked \ NP$
 - $\rightarrow the \ man \ booked$ Det Noun
 - $\rightarrow the \ man \ booked \ a \ Noun$
 - $\rightarrow the \ man \ booked \ a \ flight$



Visualization as Parse Tree



Derivation: sequence of rules/productions used to generate a string of words

CFG — Formal Definition

- A CFG is a 4-tuple $\langle N, \Sigma, R, S \rangle$
 - lacksquare N set of non-terminal symbols
 - \blacksquare Σ set of terminal symbols
 - \blacksquare R set of rules

Allowed format for all rules

$$A \to \alpha \quad \text{with} \ \ A \in N, \ \ \alpha \in (N \cup \Sigma)$$

lacksquare S — start symbol

Example

$$N = \{\text{NP, VP, Det, Noun, Verb}\}$$

$$\Sigma = \{a, the, man, meal, flight, saw, booked\}$$

$$S \rightarrow NP \ VP$$
 $NP \rightarrow Det \ Noun$
 $VP \rightarrow Verb \ NP$
 $Det \rightarrow a \mid the$
...





CFG — Running Example

```
S \to NP VP
```

 $S \rightarrow Aux NP VP$

 $S \to VP$

 $NP \rightarrow Pronoun$

 $NP \rightarrow ProperNoun$

 $NP \rightarrow Det Nominal$

 $Nominal \rightarrow Noun$

 $Nominal \rightarrow Nominal Noun$

 $Nominal \rightarrow Nominal PP$

 $VP \rightarrow Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow Verb NP PP$

 $VP \rightarrow Verb PP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$

```
\begin{array}{c} \operatorname{Det} \to the \mid a \mid that \mid this \\ \operatorname{Noun} \to book \mid flight \mid meal \mid money \\ \operatorname{Verb} \to book \mid include \mid prefer \\ \operatorname{Pronoun} \to I \mid she \mid he \mid me \\ \operatorname{ProperNoun} \to Singapore \mid Frankfurt \mid SIA \\ \operatorname{Aux} \to do \mid does \mid did \\ \operatorname{Prep} \to from \mid to \mid on \mid near \mid through \\ \end{array}
```

Important requirements to make it a CFG

- Only single non terminals on the right-hand side
- → Application of a rule does not depend on context





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Ambiguity

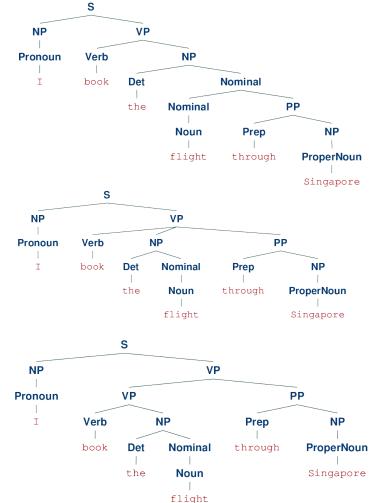
Ambiguity of Natural Language

- Common: multiple ways to interpret a sentence
- Different interpretation → different meaning

→ Structural Ambiguity

- A grammar can assign more than one parse to a sentence
- Example (using our toy grammar):

"I book the flight through Singapore"





Two common types of Structural Ambiguity

(1) Attachment Ambiguity

 A particular constituent can be attached to the parse tree at more than one place

(2) Coordination Ambiguity

- Phrases can be conjoined by conjunction like "and", "or", "but", "because", "if", etc.
- Different types of conjunctions
 (coordinating conjunctions, correlative conjunctions, correlative conjunctions)

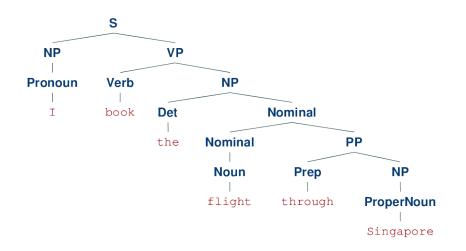


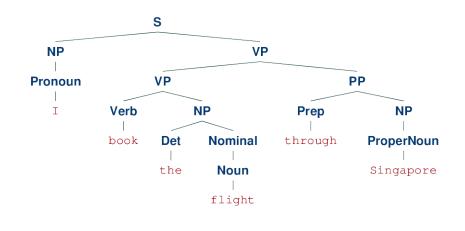




Attachment Ambiguity

"I book the flight through Singapore"





"through Singapore" attached to noun phrase related to "flight"

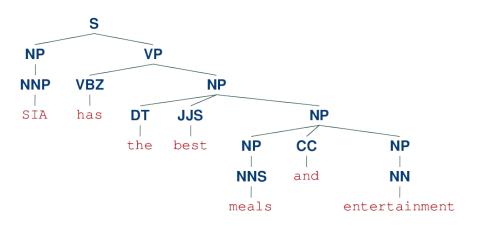
"through Singapore" attached to verb phrase related to "book"

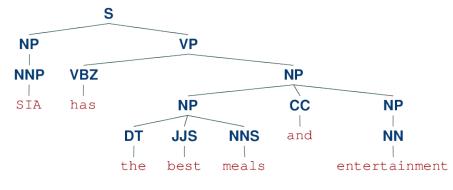
(like booking a flight through an agent)



💁 🤷 💁 Coordination Ambiguity

"SIA has the best meals and entertainment"





"best" refers to both the meals and the entertainment

"best" refers to only the meals but not the entertainment

Note: This example used a different grammar since our toy grammar does not support conjunctions.

Pre-Lecture Activity for Last Week

Assigned Task

- Watch the 9-minute YouTube video linked below
- Take an ambiguous news headline and explain one strategy mentioned in the video
- Post a 1-2 sentence answer to the following questions in your Tutorial Group's discussion

The Ling Space:

"How Do We Interpret Sentences? Parsing Strategies"



Side notes:

- This task is meant as a warm-up to provide some context for the next lecture
- No worries if you get lost; we will talk about this in the next lecture
- You can just copy-&-paste others' answers but this won't help you learn better





Summary So Far...

Parsing as a 2-part task

(1) Syntactic Parsing

- Extract all possible parses for a sentence
- Typically requires a grammar transformation step ("binarization" of grammar to ensure efficient parsing)

(2) Syntactic Disambiguation

- Score all parses and return the best parse
- Scores commonly expressed as probability

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Grammar Transformation (for CFGs)

- Important requirement: binarized rules
 - No more than 2 non-terminals on the right-hand side of rules
 - Crucial for a cubic time parsing of CFGs

- → Common transformation: Chomsky Normal Form
 - Restrictions on rules compared to general CFG

Allowed format for all rules

$$A \to \alpha \quad \text{with} \quad A \in N, \quad \alpha \in (N \cup \Sigma)$$

 α is either <u>1 terminal</u> OR <u>2 non-terminals</u>



Allowed format for all rules

$$A \to \alpha$$
 with $A \in N$, $\alpha \in (N \cup \Sigma)$

lpha is either <u>1 terminal</u> OR <u>2 non-terminals</u>

```
S \rightarrow NP VP

S \rightarrow Aux NP VP

S \rightarrow VP
```

 $NP \rightarrow Pronoun$

 $NP \rightarrow ProperNoun$

 $NP \rightarrow Det Nominal$ Nominal $\rightarrow Noun$

Nominal \rightarrow Nominal Noun

Nominal \rightarrow Nominal PP

 $VP \to Verb$

 $VP \rightarrow Verb NP$

 $VP \rightarrow Verb NP PP$ $VP \rightarrow Verb PP$

 $VP \rightarrow VP PP$

 $PP \rightarrow Prep NP$

 $Det \to the \mid a \mid that \mid this$

Noun $\rightarrow book \mid flight \mid meal \mid money$

 $Verb \rightarrow book \mid include \mid prefer$

Pronoun $\rightarrow I \mid she \mid he \mid me$

ProperNoun $\rightarrow Singapore \mid Frankfurt \mid SIA$ Aux $\rightarrow do \mid does \mid did$

 $Prop \rightarrow from \mid to \mid or$

 $Prep \rightarrow from \mid to \mid on \mid near \mid through$

Quick Quiz:

- Which rules do not conform to the Chomsky Normal Form?
- How can we transform the grammar to fix this?

Chomsky Normal Form (CNF)

- Two basic transformation steps
 - (1) Recursive removal of unary rules (and empty rules)

$$\begin{array}{c} \text{Nominal} \rightarrow \text{Noun} \\ \text{Noun} \rightarrow book \mid flight \mid meal \mid money \end{array} \\ \bullet \\ \begin{array}{c} \text{Nominal} \rightarrow book \mid flight \mid meal \mid money} \\ \text{Noun} \rightarrow book \mid flight \mid meal \mid money \end{array}$$

(2) Dividing n-ary rules by introducing new non-terminals

(n-ary rule = rule with n > 2 non-terminal on the right-hand side)

$$S \to Aux NP VP$$
 \Rightarrow $S \to X VP$
 $X \to Aux NP$

Toy Grammar in Chomsky Normal Form CNF

```
S \rightarrow NP VP
         S \rightarrow X1 VP
       X1 \rightarrow Aux NP
         S \rightarrow Verb NP
         S \rightarrow X2 PP
         S \rightarrow Verb PP
         S \rightarrow VP PP
       NP \rightarrow Det Nominal
Nominal \rightarrow Nominal Noun
Nominal \rightarrow Nominal PP
      VP \rightarrow Verb NP
      VP \rightarrow X2 PP
       X2 \rightarrow Verb NP
      VP \rightarrow Verb PP
      VP \rightarrow VP PP
       PP \rightarrow Prep NP
```

```
Det \rightarrow the \mid a \mid that \mid this
       Noun \rightarrow book \mid flight \mid meal \mid money
       Verb \rightarrow book \mid include \mid prefer
  Pronoun \rightarrow I \mid she \mid he \mid me
PropNoun \rightarrow Singapore \mid Frankfurt \mid SIA
        Aux \rightarrow do \mid does \mid did
       \text{Prep} \rightarrow from \mid to \mid on \mid near \mid through
            S \rightarrow book \mid include \mid prefer
         VP \rightarrow book \mid include \mid prefer
         NP \rightarrow I \mid she \mid he \mid me
         NP \rightarrow Singapore \mid Frankfurt \mid SIA
  Nominal \rightarrow book \mid flight \mid meal \mid money
```

Allowed format for all rules

$$A \to \alpha \quad \text{with} \quad A \in N, \quad \alpha \in (N \cup \Sigma)$$

lpha is either <u>1 terminal</u> OR <u>2 non-terminals</u>

CFG to CNF — **Summary**

- Transformation of a CFG to a CNF
 - Every CFG can be transformed into a weakly-equivalent CNF

→ Weak equivalence

- Two grammars generate the same set of sentences (identical expressiveness)
- The derivations generating the same sentences may differ (recall that the CNF may introduce additional non-terminals)

(Strong equivalence: identical expressiveness + identical derivations)

Break

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CYK Parsing Algorithm

- Base membership algorithm
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CYK Parsing Algorithm

- CYK Parsing Algorithm basic intuition
 - Given is a context-free grammar G in CNF
 - lacktriangle Assume we have a sentence W comprising n words

There can be multiple rules for different i. but at least one rule for at least one i.

 ${\cal G}$ can generate ${\cal W}$

There exists a rule $A \rightarrow B C$ in G with

- lacksquare B can generate $w_1w_2w_3w_4\dots w_i$ C can generate $w_{i+1}\dots w_{n-1}w_n$

binary split

B $w_1w_2w_3w_4...w_iw_{i+1}...w_{n-1}w_n$

Note: Appreciate how the "binarization" of rules helps here!

CYK Parsing Algorithm

$$\underbrace{\overline{w_1w_2w_3w_4\dots w_i}}_{B} \underbrace{w_{i+1}\dots w_{n-1}w_n}_{C}$$

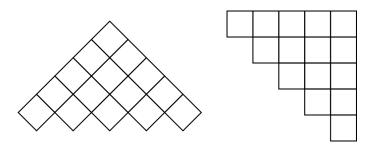
Recursive nature: G can generate B There exists a rule $X \to YZ$ so that X and Y can generate a binary split of $w_1w_2w_3\dots w_i$:

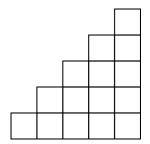
(until we reach individual words; then check the lexicon rules)

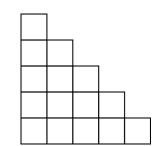
- → CYK Parsing Algorithm: Solve problem using Dynamic Programming
 - Find all possible parses for all sequences of size k for k from 1 to n

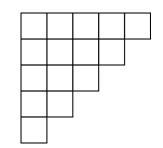
CYK Parsing Algorithm

- Dynamic Programming approach
 - Completing the parse table in a bottom-up manner (very similar idea as we have seen for calculating the Minimum Edit Distance)
 - Can to handle redundancy when computing the parse trees
- Different ways to visualize parse table
 - Completely identical, just that the indexing of table cells differs









CYK — Parse Table

[1,4] = all possible parses for span "book the flight"

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
		[2,3]	[2,4]	[2,5]	[2,6]
			[3,4]	[3,5]	[3,6]
				[4,5]	[4,6]
					[5,6]

CYK parse table

- $N \times N$ table (N = # words in sentence)
- Each cell represents all the possible parses for span [i, j]
- Algorithm: fill table starting with cells for spans of length L = 1 to cells for spans of increasing lengths

L	Cells
1	[0,1], [1,2], [2,3], [3,4], [4,5], [5,6]
2	[0,2], [1,3], [2,4], [3,5], [4,6]
3	[0,3], [1,4], [2,5], [3,6]
4	[0,4], [1,5], [2,6]
5	[0,5], [1,6]
6	[0,6]





book



flight Singapore book the through [0,1][0,2][0,3][0,4][0,5][0,6]Pronoun, NP [1,2][1,3] [1,4][1,5][1,6]S, VP. Nominal. Noun, Verb [2,3][2,4][2,5][2,6]Det [3,4][3,5][3,6]Nominal. Noun [4,5][4,6]Prep [5,6]PropNoun. NP

Quick quiz: What does it mean that cell [1,2] contains start symbol S?

Cells for spans of length L = 1

→ only need to check lexicon

Fill each cell with the non-terminals that can generate the corresponding word

```
Det \rightarrow the \mid a \mid that \mid this
Noun \rightarrow book \mid flight \mid meal \mid money
Verb \rightarrow book \mid include \mid prefer
Pronoun \rightarrow I \mid she \mid he \mid me
PropNoun \rightarrow Singapore \mid Frankfurt \mid SIA
Aux \rightarrow do \mid does \mid did
Prep \rightarrow from \mid to \mid on \mid near \mid through
S \rightarrow book \mid include \mid prefer
VP \rightarrow book \mid include \mid prefer
VP \rightarrow book \mid include \mid prefer
NP \rightarrow I \mid she \mid he \mid me
NP \rightarrow Singapore \mid Frankfurt \mid SIA
Nominal \rightarrow book \mid flight \mid meal \mid money
```

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S				
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,				
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		
			[3,4]	[3,5]	[3,6]
			Nominal,		
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Cells for spans of length L > 1

→ Check for each binary split if there is a production rule that can generate split

Example: Cell [0,2]

→ only 1 binary split: [0,1] / [1,2]

Check each possible pair of non-terminals of binary split is the RHS of an existing production rule → Yes, add LHS to cell

LHS	RHS			
	Pronoun S			
	Pronoun VP			
	Pronoun Nominal			
	Pronoun Noun			
	Pronoun Verb			
_	NP S			
S	NP VP			
	NP Nominal			
_	NP Noun			
_	NP Verb			

Only this rule exists in our grammar

I	book	the	flight	through	Singapore	
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]	
Pronoun, NP	S					
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]	
	S, VP,		S, VP, X2			
	Nominal,					
	Noun, Verb					
		[2,3]	[2,4]	[2,5]	[2,6]	
		Det	NP			
			[3,4]	[3,5]	[3,6]	
			Nominal,		Nominal	
			Noun			
				[4,5]	[4,6]	
				Prep	PP	
					[5,6]	
				PropNoun,		
Quick quiz: Can you already guess how the NP						
parse table indicates that a sentence is valid?						

Example: Cell [1,4]

• binary split: [1,2] / [2,4]

• binary split: [1,3] / [3,4]

Binary split: [1,2] / [2,4]

LHS	RHS
_	S NP
_	VP NP
_	Nominal NP
_	Noun NP
S, VP, X2	Verb NP

3 existing rules with the same RHS

Binary split: [1,3] / [3,4]



Empty because [1,3] is empty

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		S, VP, X2		
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Example: Cell [2,6]

• binary split: [2,3] / [3,6]

• binary split: [2,4] / [4,6]

• binary split: [2,5] / [5,6]

Binary split: [2,3] / [3,6]

LHS	RHS
NP	Det Nominal

Binary split: [2,4] / [4,6]

LHS	RHS
_	NP PP

Binary split: [2,5] / [5,6]





I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	s, VP,		S, VP, X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Example: Cell [1,6]

• binary split: [1,2] / [2,6]

binary split: [1,3] / [3,6]

• binary split: [1,4] / [4,6]

• binary split: [1,5] / [5,6]

CYK — Walkthrough (Done)

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	s, VP,		S, VP, X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Example: Cell [1,6]

- binary split: [1,2] / [2,6]
- binary split: [1,3] / [3,6] (empty!)
- binary split: [1,4] / [4,6]
- binary split: [1,5] / [5,6] (empty!)

Binary split: [1,2] / [2,6]

LHS	RHS
_	S NP
_	VP NP
_	Nominal NP
_	Noun NP
S, VP, X2	Verb NP

Binary split: [1,4] / [4,6]

LHS	RHS
_	SPP
S, VP	VP PP
S, VP	X2 PP

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		S, VP, X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Example: Cell [0,6]

- binary split: [0,1] / [1,6]
- binary split: [0,2] / [2,6]
- binary split: [0,3] / [3,6] (empty!)
- binary split: [0,4] / [4,6]
- binary split: [0,5] / [5,6] (empty!)

Binary split: [0,1] / [1,6]

LHS	RHS
_	Pronoun S
_	Pronoun VP
_	Pronoun X2
_	NP S
S	NP VP
_	NP X2

Binary split: [0,2] / [2,6]

LHS	RHS
_	S NP

Binary split: [0,4] / [4,6]

LHS	RHS
_	SPP

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		S, VP, X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Our grammar can generate this sentence since the start symbol S is in [0,6]

CYK Parsing Algorithm — Pseudo Code

function CKY-Parse(words, grammar) returns table

```
for j \leftarrow from 1 to LENGTH(words) do

for all { A | A→words[j] ∈ grammar }

table[j-1,j] \leftarrow table[j-1,j] \cup A

Base case: For each terminal (i.e., word), find

all terminals that can generate this terminal

for j \leftarrow from j-2 down to 0 do

for k \leftarrow i+1 to j-1 do

Loop over all possible binary splits of spans of size 2, and increase until sentence length

for all { A | A→BC ∈ grammar and B ∈ table[i, k] and C ∈ table[k, j] }

table[i, j] \leftarrow table[i, j] \cup A
```

If there is a rule (or more) that can generate the current binary split, add the rule's LHS to the cell of the current span

CYK Parsing Algorithm — Basic Python Implementation

```
def cyk parse(tokens, rules):
       n = len(tokens)
3
       # Initialize dynamic programming table
       CYK = defaultdict(lambda: defaultdict(lambda: 0)))
5
6
       # Initialize parse: span of length 1
8
       for s in range(n):
9
           # Find all non-terminals that can generate the terminal
           for A, rhs in rules:
10
               if rhs == (tokens[s],):
                   CYK[s][s+1][A] = 1
13
14
       # Handle spans of length 2+ using dynamic programming
15
       for length in range(2, n+1):
           for start in range(0, n-length+1):
16
                                               # Loop over all
               end = start + length
                                              # the possible
17
               for split in range(start+1, end): # binary splits
18
19
                   # Check each production rule (ignore lexicon rules)
                   for A, (B, C) in [ r for r in rules if len(r[1]) == 2]:
20
                       # is valid = 1 if B and C can generate left and right part
                       is valid = CYK[start][split][B] * CYK[split][end][C]
23
                       # The same LHS needs to be able to generate the RHS only once
                       CYK[start][end][A] = np.max([ is valid, CYK[start][end][A] ])
24
25
26
       return CYK
```

CYK — Example: Invalid Parse

I	book	flight	the	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S				
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,	Nominal			
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Nominal,			
		Noun			
			[3,4]	[3,5]	[3,6]
			Det		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

CYK — Syntax vs. Semantic

- Syntactic parsing does not consider semantics
 - Any constituent can be replaced with another constituent of the same type
 - Example below: A noun can be replaced with any other noun

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		S, VP, X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

VS.

I	book	the	$_{ m meal}$	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		s		S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		VP, S, X2		VP, S, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

CYK Parsing Algorithm — Limitation

- Basic CYK algorithm only solves the membership problem
 - Algorithm only checks if a sentence is a "member" of the language described by the grammar
- What we also want
 - Finding all actual parse trees
 (in case a sentence is valid; otherwise the result is empty)
 - Identifying the best parse tree(s)
 (which requires a definition for what we mean by "best")

→ Good news: Only rather minor extension to base algorithm required

Outline

Syntactic Parsing

- Quick recap: RegEx
- Context-free grammars
- Structural Ambiguity
- Chomsky Normal Form

CYK Parsing Algorithm

- Base membership algorithm
- Find all parse trees with backtracking
- Probabilistic parsing
- Evaluation of parser

CYK — Get all Parse Trees (Derivations)

- Basic Idea: Keep track of backtrace
 - Remember which 2 cells matched an existing production rule

Ι	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	1 S		s		(s)
	[1,2]	[1,3]	[1,4]	[1,5]	[1,]
	S, VP,		S, VP, X2		S, VP X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4,6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Binary split: [0,1] / [1,6]

LHS	RHS
_	Pronoun S
_	Pronoun VP
_	Pronoun X2
_	NP S
<u>s</u>	NP VP
_	NP X2

CYK — Get all Parse Trees (Derivations)

- Recall: Structural Ambiguity
 - In general, different production rules might match

I	book	the	flight	through	Singapore
[0,1]	[0,2]	[0,3]	[0,4]	[0,5]	[0,6]
Pronoun, NP	S		S		S
	[1,2]	[1,3]	[1,4]	[1,5]	[1,6]
	S, VP,		s, VP X2		S, VP, X2
	Nominal,				
	Noun, Verb				
		[2,3]	[2,4]	[2,5]	[2,6]
		Det	NP		NP
			[3,4]	[3,5]	[3,6]
			Nominal,		Nominal
			Noun		
				[4,5]	[4.6]
				Prep	PP
					[5,6]
					PropNoun,
					NP

Binary split: [1,2] / [2,6]

LHS	RHS
_	S NP
_	VP NP
_	Nominal NP
_	Noun NP
<u>S</u> , VP, X2	Verb NP

Binary split: [1,4] / [4,6]

LHS	RHS
_	SPP
<u>S</u> , VP	VP PP
S, VP	X2 PP

CYK — Get all Parse Trees (Pseudo Code)

function CKY-Parse(words, grammer) returns table, pointer

```
for j \leftarrow from 1 to LENGTH(words) do

for all { A | A→words[j] ∈ grammar }

table[j-1, j] \leftarrow table[j-1, j] \cup A

pointer[j-1, j, A] \leftarrow pointer[j-1, j, A] \cup words[j]

for j \leftarrow from j-2 down to 0 do

for k \leftarrow i+1 to j-1 do

for all { A | A→BC ∈ grammar and B ∈ table[i, k] and C ∈ table[k, j] }

table[i, j] \leftarrow table[i, j] \cup A

pointer[i, j, A] \leftarrow pointer[i, j, A] \cup ((i, k, B), (k, j, C))
```

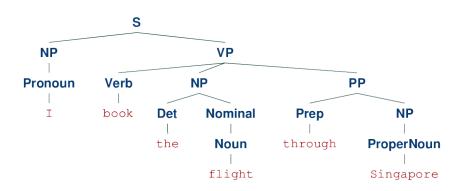
CYK — Get all Parse Trees (Python)

```
def cyk parse basic ptr(tokens, rules):
       n = len(tokens)
 4
       # Initialize dynamic programming table + backtrace pointers
 5
       CYK = defaultdict(lambda: defaultdict(lambda: 0)))
 6
       PTR = defaultdict(lambda : defaultdict(list)))
 8
       # Initialize parse: span of length 1
9
       for s in range(n):
           # Find all non-terminals that can generate the terminal
10
           for A, rhs in rules:
12
               if rhs == (tokens[s],):
13
                   CYK[s][s+1][A] = 1
                                                                             The only additions to the base algorithm
                   PTR[s][s+1][A].append(tokens[s])
14
                                                                            (base algorithm = CYK for membership problem)
15
16
       # Handle spans of length 2+ using dynamic programming
17
       for length in range(2, n+1):
           for start in range(0, n-length+1):
18
                                                 # Loop over all
19
               end = start + length
                                                 # the possible
               for split in range(start+1, end): # binary splits
20
                   # Check each production rule (ignore lexicon rules)
                   for A, (B, C) in [ r for r in rules if len(r[1]) == 2]:
23
                       # is valid = 1 if B and C can generate left and right part
                       is valid = CYK[start][split][B] * CYK[split][end][C]
24
25
                       # The same LHS needs to be able to generate the RHS only once
26
                       CYK[start][end][A] = np.max([ is valid, CYK[start][end][A] ])
27
                       # If this is a valid split, remember from which cells we came
                      if is valid > 0:
28
29
                          PTR[start][end][A].append(((start, split, B), (split, end, C)))
30
       return CYK, PTR
31
```

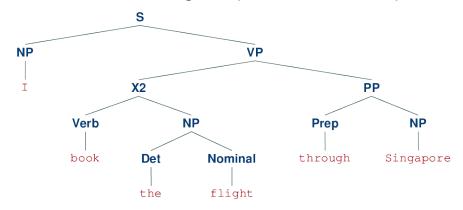
Parse Trees: CFG vs. CNF

- Converting a CFG into a CNF affects resulting parse trees
 - CFG parse trees can be recovered from CNF parse trees (easy for newly split n-ary rules; a bit more tricky for unary rules)
 - Straightforward extension of CYK algorithm to support unary rules directly (doesn't affect runtime complexity, but roughly doubles the required lines code)

Parse tree using original CFG



Parse tree using CNF (converted from CFG)

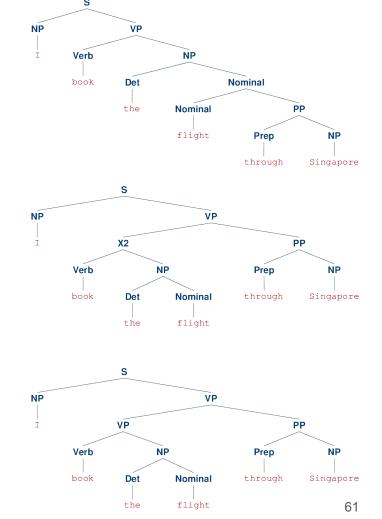


CYK — Parse Trees

Parse tree for example

"I book the flight through Singapore"

- Observation
 - Multiple valid parses
 - Which is the best one?





1 Looking for a few good the best parses



Outline

Syntactic Parsing

- Quick recap: RegEx
- Context-free grammars
- Structural Ambiguity
- Chomsky Normal Form

CYK Parsing Algorithm

- Base membership algorithm
- Find all parse trees with backtracking
- Probabilistic parsing
- Evaluation of parser

Statistical Parsing

- Resolve structural ambiguity by choosing the most probable parse
 - Best parse = parse with the highest probability
 - Question: Where to get such probabilities from?

- → Probabilistic Context-Free Grammar (PCFG)
 - Same as CFG, but each rule is associated with a probability
 - Probabilities are learned from an annotated dataset

Given a parse tree T for a sentence S comprised of rules: $P(T,S) = \prod_i^n P(A \to \alpha) = \prod_i^n P(\alpha|A)$

CFG — Formal Definition

- A CFG is a 4 tuple $\langle N, \Sigma, R, S \rangle$
 - lacksquare N set of non-terminal symbols
 - \blacksquare Σ set of terminal symbols
 - \blacksquare R set of rules

Allowed format for all rules

$$A \to \alpha$$
 $[p]$ with $A \in N$, $\alpha \in (N \cup \Sigma)$
$$p = P(\alpha|A)$$

 \blacksquare S — start symbol

Example

$$N = \{\text{NP, VP, Det, Noun, Verb}\}$$

$$\Sigma = \{a, the, man, meal, flight, saw, booked\}$$

$$S \to \text{NP VP [0.4]}$$

$$\text{NP} \to \text{Det Noun [0.5]}$$

$$\text{VP} \to \text{Verb NP [0.2]}$$

$$\text{Det} \to a \ [0.3] | \ the \ [0.4]$$
...

Example CFG → **Example PCFG**

$$\sum = 1 \left\{ \begin{array}{c} S \rightarrow NP \ VP \ [0.8] \\ S \rightarrow Aux \ NP \ VP \ [0.1] \\ S \rightarrow VP \ [0.1] \end{array} \right.$$

$$\sum = 1 \left\{ \begin{array}{c} NP \rightarrow Pronoun \ [0.2] \\ NP \rightarrow Proper Noun \ [0.2] \\ NP \rightarrow Det \ Nominal \ [0.6] \end{array} \right.$$

$$\sum = 1 \left\{ \begin{array}{c} Nominal \rightarrow Noun \ [0.3] \\ Nominal \rightarrow Nominal \ Noun \ [0.2] \\ Nominal \rightarrow Nominal \ PP \ [0.5] \end{array} \right.$$

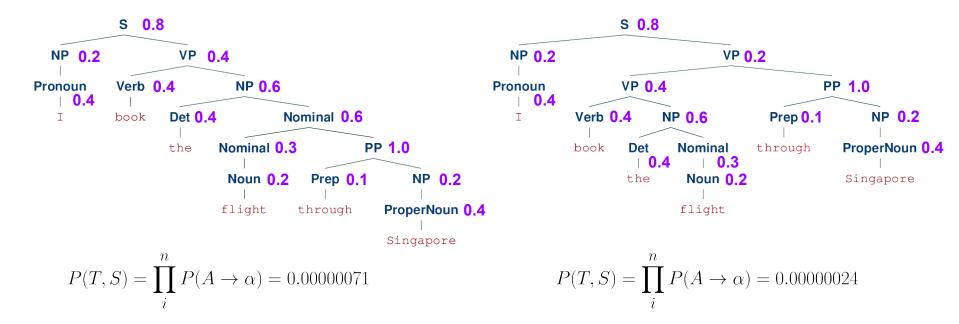
$$\sum = 1 \left\{ \begin{array}{c} VP \rightarrow Verb \ [0.2] \\ VP \rightarrow Verb \ NP \ [0.4] \\ VP \rightarrow Verb \ PP \ [0.1] \\ VP \rightarrow Verb \ PP \ [0.1] \\ VP \rightarrow VP \ PP \ [0.2] \\ PP \rightarrow Prep \ NP \ [1.0] \end{array} \right.$$

Requirement for valid probabilities:

$$\sum_{\alpha} P(A \to \alpha) = \sum_{\alpha} P(\alpha|A) = 1$$

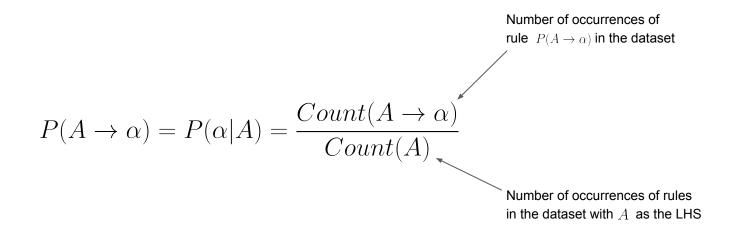
PCFG — Probability of a Parse Tree

- Probability of parse tree = product of probabilities of all rules
 - In practice, sum up log probabilities to avoid arithmetic underflow



PCFG — Calculating the Probability of a Rule

- Calculating $P(A \rightarrow \alpha)$ using Maximum Likelihood Estimation
 - Requires annotated dataset of parse trees



PCFG — Converting to CNF

(1) Dividing n-ary rules by introducing new non-terminals

$$S \rightarrow NP \ VP \ [0.8]$$

 $S \rightarrow Aux \ NP \ VP \ [0.1]$
...
$$S \rightarrow NP \ VP \ [0.8]$$

 $S \rightarrow X1 \ VP \ [0.1]$
 $X1 \rightarrow Aux \ NP \ [1.0]$
...

(2) Recursive removal of unary rules

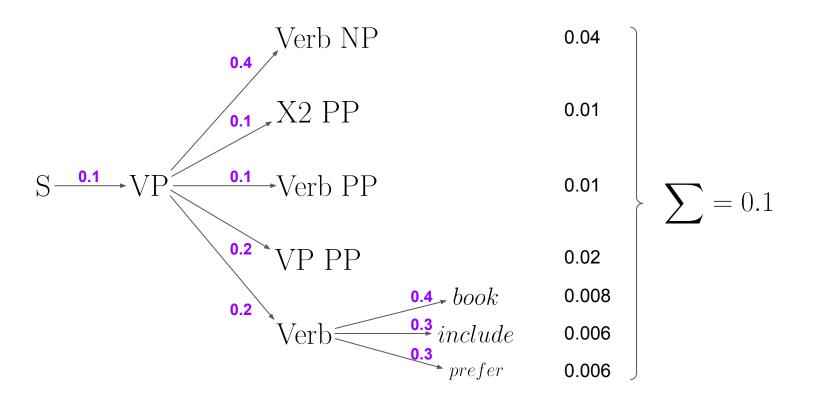
$$S \rightarrow NP \ VP \ [0.8]$$

 $S \rightarrow Aux \ NP \ VP \ [0.1]$
 $S \rightarrow VP \ [0.1]$

```
\begin{array}{l} \mathrm{S} \rightarrow \mathrm{NP} \; \mathrm{VP} \; [0.8] \\ \mathrm{S} \rightarrow \mathrm{X1} \; \mathrm{VP} \; [0.1] \\ \mathrm{X1} \rightarrow \mathrm{Aux} \; \mathrm{NP} \; [1.0] \\ \\ \mathrm{S} \rightarrow \mathrm{Verb} \; \mathrm{NP} \; [0.04] & \text{How to compute} \\ \mathrm{S} \rightarrow \mathrm{X2} \; \mathrm{PP} \; [0.01] & \text{these probabilities?} \\ \mathrm{S} \rightarrow \mathrm{Verb} \; \mathrm{PP} \; [0.01] & \\ \mathrm{S} \rightarrow \mathrm{VP} \; \mathrm{PP} \; [0.02] & \\ \mathrm{S} \rightarrow book \; [0.008] \; | \; include \; [0.006] \; | \; prefer \; [0.006] \\ \end{array}
```

PCFG — Converting to CNF

Multiply probabilities along the paths



PCFG — Converting to CNF

```
S \rightarrow NP VP [0.8]
                                                             Det \to the [0.4] | a [0.3] | that [0.2] | this [0.1]
        S \rightarrow X1 \text{ VP } [0.1]
                                                           Noun \rightarrow book [0.2] | flight [0.2] | meal [0.3] | money [0.3]
      X1 \rightarrow Aux NP [1.0]
                                                           Verb \rightarrow book [0.4] \mid include [0.3] \mid prefer [0.3]
        S \rightarrow Verb NP [0.04]
                                                       Pronoun \to I [0.4] | she [0.2] | he [0.2] | me [0.2]
        S \rightarrow X2 PP [0.01]
                                                    PropNoun \rightarrow Singapore [0.4] | Frankfurt [0.4] | SIA [0.2]
        S \rightarrow Verb PP [0.01]
                                                            Aux \to do [0.5] \mid does [0.2] \mid did [0.3]
        S \rightarrow VP PP [0.02]
                                                           \text{Prep} \rightarrow from \ [0.2] \ | \ to \ [0.4] \ | \ on \ [0.2] \ | \ near \ [0.1] \ | \ through \ [0.1]
      NP \rightarrow Det Nominal [0.6]
                                                                S \rightarrow book [0.008] \mid include [0.006] \mid prefer [0.006]
                                                             VP \rightarrow book [0.08] \mid include [0.06] \mid prefer [0.06]
Nominal \rightarrow Nominal Noun [0.2]
                                                             NP \rightarrow I [0.08] \mid she [0.04] \mid he [0.04] \mid me [0.04]
Nominal \rightarrow Nominal PP [0.5]
      VP \rightarrow Verb NP [0.2]
                                                             NP \rightarrow Singapore [0.08] \mid Frankfurt [0.08] \mid SIA [0.04]
      VP \rightarrow X2 PP [0.1]
                                                       Nominal \rightarrow book [0.06] | flight [0.06] | meal [0.09] | money [0.09]
      X2 \rightarrow Verb NP [1.0]
      VP \rightarrow Verb PP [0.1]
      VP \rightarrow VP PP [0.2]
      PP \rightarrow Prep NP [1.0]
```

pen & paper calculations...I hope the numbers add up :)

CYK — Get Best Parse Tree (Pseudo Code)

function CKY-Parse(words, grammar, probs) returns table, pointer

```
for j \leftarrow from 1 to LENGTH(words) do
        for all \{A \mid A \rightarrow words[j] \in grammar\}
                table[i-1, i, A] \leftarrow probs[A \rightarrow words[i]]
                pointer[i-1, i, A] \leftarrow pointer[i-1, i, A] \cup words[i]
for j \leftarrow from j-2 down to 0 do
        for k←i+1 to i-1 do
                for all \{A \mid A \rightarrow BC \in grammar \text{ and } B \in table[i, k] \text{ and } C \in table[k, j] \}
                        p \leftarrow table[i, k, B] * table[k, j, C] * probs[A \rightarrow BC]
                        if p > table[i, j, A] do
                                 table[i, i, A] \leftarrow p
                                 pointer[i, i, A] \leftarrow pointer[i, j, A] \cup ((i, k, B), (k, j, C))
```

CYK — Get Best Parse Tree (Python)

```
def cyk parse probabilistic ptr(tokens, rules, probs);
       n = len(tokens)
3
       # Initialize dynamic programming table
4
       CYK = defaultdict(lambda: defaultdict(lambda: defaultdict(lambda: 0)))
       PTR = defaultdict(lambda : defaultdict(list)))
6
8
       # Initialize parse: span of length 1
       for s in range(n):
           # Find all non-terminals that can generate the terminal
10
           for A, rhs in rules:
               if rhs == (tokens[s].):
                  CYK[s][s+1][A] = probs[A][token[s]]
13
                                                                                   The only changes to the algorithm
14
                   PTR[s][s+1][A].append(tokens[s])
15
       # Handle spans of length 2+ using dynamic programming
16
       for l in range(2, n+1):
17
           for start in range(0, n-l+1):
18
19
               end = start + 1
20
               for split in range(start+1, end):
21
                   # Check each production rule (ignore lexicon rules)
22
                   for A, (B, C) in [ r for r in rules if len(r[1]) == 2]:
                      # Calculate probability of reaching the cell with the current rule
23
                       p = CYK[start][split][B] * CYK[split][end][C] * probs[A][(B,C)]
24
25
                       # If the probability is larger then the current one => update!
                       if p > CYK[start][end][A]:
26
                           CYK[start][end][A] = p
27
                           PTR[start][end][A].append(((start, split, B), (split, end, C)))
28
29
       return CYK, PTR
30
```

Outline

Syntactic Parsing

- Quick recap: RegEx
- Context-free grammars
- Structural Ambiguity
- Chomsky Normal Form

CYK Parsing Algorithm

- Base membership algorithm
- Find all parse trees with backtracking
- Probabilistic parsing
- **■** Evaluation of parser

Note: This is not the only way to do it.

Evaluation of Parse Trees

- Important: best parse ⇒ correct parse
 - Best parse = parse with the highest probability
 - Correct parse = parse that matches the gold-standard solution
- How evaluate parse trees?
 - Represent each parse tree as a set of tuples $\{\langle l_1, i_1, j_1 \rangle, \langle l_2, i_2, j_2 \rangle, ..., \langle l_n, i_n, j_n \rangle\}$

 l_k is the non-terminal labeling the \mathbf{k}^{th} phrase

 i_k is the index of the first word in the ${\sf k}^{\sf th}$ word in the phrase

 j_k is the index of the last word in the \mathbf{k}^{th} word in the phrase

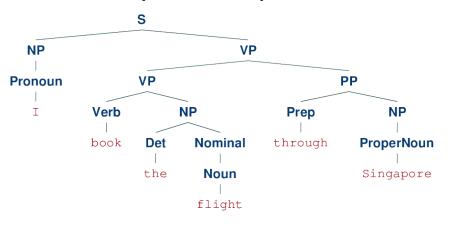
→ Use representations of computed parse and gold standard parse to estimate precision, recall and F1

Evaluation of Parse Trees — Example

Gold-standard (correct) parse tree

S NP ۷P **Pronoun** Verb NP Ι Det **Nominal** book the Nominal PP NP Noun **Prep** flight through **ProperNoun** Singapore

Computed "best" parse tree



Tuples only present in correct tree

 $\langle NP, 3, 6 \rangle$ $\langle Nominal, 4, 6 \rangle$

Tuples resent in both trees

$$\langle NP, 1, 1 \rangle$$
 $\langle Pronoun, 1, 1 \rangle$ $\langle VP, 2, 2 \rangle$ $\langle Verb, 2, 2 \rangle$ $\langle Det, 3, 3 \rangle$ $\langle Nominal, 4, 4 \rangle$ $\langle Noun, 4, 4 \rangle$ $\langle Prep, 5, 5 \rangle$ $\langle ProperNoun, 6, 6 \rangle$ $\langle PP, 5, 6 \rangle$ $\langle NP, 6, 6 \rangle$

Tuples only present in computed tree

 $\langle VP, 2, 4 \rangle$

 $\langle NP, 3, 4 \rangle$

Evaluation of Parse Trees — Example

Tuples only present in correct tree

 $\langle NP, 3, 6 \rangle$

 $\langle Nominal, 4, 6 \rangle$

Tuples resent in both trees

 $\langle NP, 1, 1 \rangle$ $\langle Pronoun, 1, 1 \rangle$ $\langle VP, 2, 2 \rangle$ $\langle Verb, 2, 2 \rangle$

 $\langle \mathrm{Det}, 3, 3 \rangle \ \langle \mathrm{Nominal}, 4, 4 \rangle \ \langle \mathrm{Noun}, 4, 4 \rangle \ \langle \mathrm{Prep}, 5, 5 \rangle$

 $\langle ProperNoun, 6, 6 \rangle$ $\langle PP, 5, 6 \rangle$ $\langle NP, 6, 6 \rangle$

Tuples only present in computed tree

 $\langle VP, 2, 4 \rangle$

 $\langle NP, 3, 4 \rangle$

Precision =
$$\frac{TP}{TP + FP} = \frac{11}{11 + 2} = 0.85$$

Recall =
$$\frac{TP}{TP + FN} = \frac{11}{11 + 2} = 0.85$$

$$f1 = \frac{2 \cdot Precision \cdot Recall}{Precision + Recall} = 0.85$$

TP = # tuples in both trees

FP = # tuples only in the computed tree

FN = # tuples only in the correct tree

Summary

- Recursive nature of natural language
 - Natural language allows for nested structure
 - Basic building block: constituents
 - Most common way to capture constituency → context-free grammars (CFGs)
- Syntactic parsing
 - Membership: check if a sentence can be generated by a grammar
 - Identification of <u>all</u> possible parse trees for a sentence
 - Identification of <u>best</u> parse tree for a sentence → **Probabilistic CFGs**

CYK Parsing Algorithm



Pre-Lecture Activity for Next Week

Read <u>8 Google Employees Invented Modern</u>
<u>AI. Here's the Inside Story</u>
(Wired Article)

Apply your own (self-)attention to the article. Quote a sentence of the article you think most or least strikes your attention. Tell us why.

Side notes:

- This task is meant as a warm-up to provide some context for the next lecture
- No worries if you get lost; we will talk about this in the next lecture
- You can just copy-&-paste others' answers but this won't help you learn better

